



SECURITIES COMMISSION OF THE BAHAMAS

LICENSEES UNDER THE SECURITIES INDUSTRY ACT, 1999

Name	Class	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Union Bancaire Privee (Bahamas) Limited	II	325-6755	328-2177	fec@ubp.ch	Charlotte House, Shirley & Charlotte Street	N-7529	Eric M. Dyer; Vandyke Saunders; Alicia Weatherford; Peter Butler; Brenda Powell, Davawn Turner
Union Bancaire Privee (Nassau Branch)	II	325-6755	325-6765	fec@ubp.ch	Charlotte House, Shirley & Charlotte Street	N-7529	Eric M. Dyer; Brenda Powell, Davawn Turner, Alicia Weatherford, Van-dyke Saunders
Votorantim Bank Limited	II	328-0981	328-0983	mpavan@votorantimbank.com	Suite 204, Saffrey Square Building, Bay St. & Bank Lane	N-951	Mario R.K. Pavan; Samuel J.H. Wilkinson
Westmount Securities Ltd.	I	533-1456		cjdangelo@coralwave.com	Corp-Law Court, #2 Cedar Street, Freeport, GB	F-42524	Charles D'Angelo, Vita Dellerba
<b>SECURITIES INVESTMENT ADVISORS</b>							
Alternative Asset Advisors S.A.		327-6633	327-6629	betsy.morris@syz.com	Bayside Executive Park, West Bay Street	N-1089	Miguel Gonzalez; Alfred A. Schaller
Autana Capital Management (formerly Crescendo Capital Management Limited)		327-3947	327-3958	jd@crescendo-cm.com	Ground Floor, Building 5, Caves Village, Nassau, Bahamas	N-7776	Jean-Marc Emden
Axis Capital Management (Bahamas) Limited		302-4100	362-4623	shs@deltecbank.com;anj@axisbahamas.com	Deltec House, Lyford Cay	N-3229	
Bahamas Securities (1987) Limited		325-6591	325-6592	cculmer@bdomannjudd.com	Ansbacher House, Bank Lane	N-10144	George C. Culmer; Myles J. Culmer
Bearbull Degroof International Limited (formerly Bearbull International Ltd.)		323-7376	326-5625	p.knowles@bearbull.com	Charlotte House, Charlotte Street	N-4287	Nicole M. Dupuy; Yves V. Kempf; Linda L. Lowe; Phillipa Knowles- Russell
Behring International Asset Management Limited		356-0107	322-1612	behring@batelnet.bs	Plaza-on-the-way, West Bay Street	N-747	Arthur Chase
Benchmark Advisors (Bahamas) Limited		325-6591	325-6592	jbrown@allianceinvest.com	Ground Floor, The British Colonial Hilton Centre of Commerce, One Bay Street	N-10144	Julian Brown
Binnacle Investment Group Ltd.		502-8822	502-8840	binnacle@binnaclegroup.com	Bayside House, Bayside Executive Park	AP-59213	Kevin Burrows; Phaedra Mackey-Knowles
Braun & CIE Ltd.		325-6591	325-6592	jbrown@allianceinvest.com	Ansbacher House, Bank Lane	N-10144	Julian Brown
Butterfield Bank (Bahamas) Limited		393-8200	393-3772	heather.bellot@butterfieldbank.bs	Montague Sterling Centre, East Bay Street	N-3242	Rosemary Barrett; Robert Lotmore; Lynette Moore; Julien Martel
<b>Cara Trading Advisors (Bahamas) Ltd.</b>		<b>327-6679</b>	<b>327-6679</b>	<b>bill@billcaratradng.com</b>	<b>Cable Beach Manor</b>	<b>CB12762-361</b>	<b>William John Cara</b>
CFAL		502-7010	356-3677	slongley@colinafinancial.com	308 East Bay Street	CB-12407	Anthony Ferguson; Sean Longley; Pamela Musgrove; Tricetta Lauriellas Woodside
Colonial Pension Services (Bahamas) Limited		502-7526	502-7501	rlgibson@atlantichouse.com.bs	2nd Floor, Atlantic House, Collins Avenue	SS-5915	Marie Caesar; Larry Gibson; Sherelle Johnson; Desiree L. Parker
Corner Bank (Overseas) Limited		394-4977	394-5264	infobank@corner.bs	308 East Bay Street	N-7134	Colyn Roberts, Omar Vallana
FTC Asset Management (Bahamas) Limited		302-6300	302-6303	ftc@ftc.bs	Charlotte House, Charlotte Street	N-4929	Wilfred S. Coakley; Thomas Gattinger; Gerald Eder
GEM Global Equities Management S.A.		325-1033	323-7918	ceo@euro-dutch.com	Charlotte House, Charlotte Street	N-9204	Anthony L-M. Inder-Rieden; Toby C. Smith
Geneva Private Portfolio Management Limited		327-1097	327-1070	gppm@batelnet.bs	Suite 232, 2nd Floor, Island Lane Building	N-4487	Henry A. Podlewski; Micheal I. Slack; James Burrows
Gestfinanz (Bahamas) Limited		356-4114	356-4125	jerome.gomez@gestfinanzbahamas.com	The Deanery, 28 Cumberland Street	N-1991	Oliver Camponovo; Franco Rossini; Michael Halkitis
Hottinger Bahamas Limited		362-6333	362-5549	paul.de.pourtales@hottinger.com	Lyford Manor, West Bay Street	CB-13012	Paul De Pourtales- Schickler
Huber Management Limited		325-4885	323-7284	ums@serviceco-bs.com; bh@huberint.com	3rd Floor, Atlantic House	N-529	Bernard Huber
Independent Advisory Services Limited		327-6057	327-6058	info@inadservices.com	Lagoon Court Suite 215, Olde Town Mall	AP 59223 #466	Adolfo F. Miranda; Beat Wernli
J. Safra Asset Management Limited		326-8666	322-4613	israel.borba@safragroup.com	#3 Magna Carta, Shirley Street	N-4895	Israel Borba; Wagner Braz; Wilder Gonzalez
Judico Research Limited		363-0515	363-0516		Unit A3.1 Ocean Club Residences, Ocean Club & H	SS-19221	William Bollinger
K.P. Investment Advisors		356-2610	323-4106		Aurora House, Dowdeswell Street	SS-19689	Karl Hoerkens; Pierre M. Monnard; Pierre A. Retord
Lambda Asset Management		3631528	3631528	fabio@lambdaam.com	Yolanda Condominiums Unit 6, Paradise Island	N-4875	Fabio Allocco
Mignon (Nassau) Limited		327-6618 327-6806	327-6617	sp@mignon.bs	Caves Village, West Bay Street	AP-59223	Dorothea Thompson
Millennium Investment Advisors Limited		356-2610	323-4106		Aurora House, Dowdeswell Street & Dunmore Lane	SS-19689	Fabrice de Caro; Karl Hoerkens; Pierre M. Monnard
Montague Capital Partners Ltd.		356-6143	356-6144	obethel@montauegroup.com	4th Floor Centreville House, 2nd Terrace West	N-8303	Owen S.M. Bethel; Edison L. Sumner